

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): **Stephen Gillies, et al.**  
SERIAL NO.: **09/256,156** GROUP NO.: **1643**  
FILING DATE: **February 24, 1999** EXAMINER: **Not yet assigned**  
TITLE: **Enhancing the Half Life of Antibody-Based Fusion Proteins**



**ATTN: BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
**FILING DATE GRANTED**

Sir:

In response to a "Notice to File Missing Parts of Application" dated April 21, 1999, Applicants submit the following:

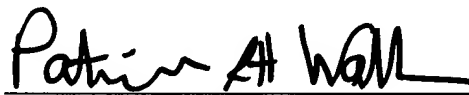
1. the basic filing fee of \$760.00
2. additional claim fees of \$770.00
3. a signed declaration in compliance with 37 CFR §1.63.
4. the surcharge set forth in 37 CFR 1.16(e) and a petition and petition fee for two month extension of time ( August 21, 1999 was a Saturday).

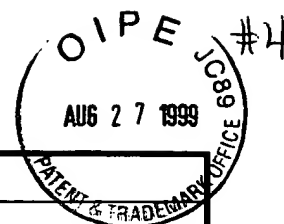
The Commissioner is hereby authorized to charge any additional fee associated with this submission to Account No. 20-0531, as indicated on the attached Fee Transmittal Form, a copy of which is submitted herewith.

Respectfully submitted,

Date: August 23, 1999  
Reg. No. 41,418

Tel. No.: (617) 248-7240  
Fax No.: (617) 248-7100  
waller@tht.com

  
Patrick R. H. Waller, Ph.D.  
Agent for Applicants  
Testa, Hurwitz, & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110



# TRANSMITTAL FORM

Application Serial Number	09/256,156
Filing Date	February 24, 1999
First Named Inventor	Stephen Gillies
Group Art Unit	1643
Examiner Name	Not Yet Assigned
Attorney Docket No.	LEX-003


## ENCLOSURES (check all that apply)

- |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Check Attached<br><input checked="" type="checkbox"/> Copy of Fee Transmittal Form<br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____]<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Form PTO-1449<br><input type="checkbox"/> Copies of IDS Citations<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)<br><input type="checkbox"/> Formal Drawing(s)<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)<br><input type="checkbox"/> Terminal Disclaimer<br><input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><input type="checkbox"/> Additional Enclosure(s) ( <i>please identify below</i> ) |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,  
  
Patrick R. H. Waller, Ph.D.  
Agent for Applicant(s)  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110

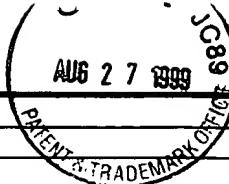
Date: August 23, 1999  
Reg. No. 41,418  
Tel. No.: (617) 248-7240  
Fax No.: (617) 248-7100

# FEE TRANSMITTAL

Note: Effective October 1, 1997.  
Patent fees are subject to annual revision

Complete if Known

Application Serial Number	09/256,156
Filing Date	February 24, 1999
First Named Inventor	Gillies
Group Art Unit	1643
Examiner Name	Not Yet Assigned
Attorney Docket No.	LEX-003



#14

## METHOD OF PAYMENT

- ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
- ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below to Deposit Account No. 20-0531.  
☒ \$1660.00 (Filing fee; extra claims, and surcharge)  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.

## FEE CALCULATION

### 1. FILING FEE

#### Large Entity

Fee (\$)	Fee Description	Fee Paid
760	Utility filing fee	760.00
310	Design filing fee	
150	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
Total Claims	44	- 20 = 24	x \$ 18.00 =	432.00

	Number Filed	Number Extra	Rate	Amount
Independent Claims	4	- 3 = 1	x \$ 78.00 =	78.00

☒ Multiple Dependent Claim(s), if any \$260.00 = 260.00

TOTAL: 1530.00

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 1530.00

### 2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 78.00 =

☐ First Presentation of Multiple Dep. Claim + \$260.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	\$130.00
50	25	Surcharge - late provisional filing fee or	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
380	190	Extension for reply within second month	\$380.00
870	435	Extension for reply within third month	
1,360	680	Extension for reply within fourth month	
1,850	925	Extension for reply within fifth month	
300	150	Notice of Appeal	
300	150	Filing a brief in support of an appeal	
260	130	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
240	240	Submission of Information Disclosure Statement (37 CFR 1.97(c))	
130	130	Submission of Information Disclosure Statement (37 CFR 1.97(d))	
760	380	Filing a submission after final rejection (37 CFR 1.129(a))	
760	380	For each additional invention to be examined (37 CFR 1.129(b))	
		Other (Specify)	

SUBTOTAL (3) (\$) 510.00

SUBTOTAL (1) 1530.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 510.00

TOTAL \$ 2040.00

## CORRESPONDENCE ADDRESS

Direct all correspondence to:

Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,

*Patrick R.H. Wall*  
Patrick R.H. Wall, Ph.D.  
Agent for Applicants

Date: August 23, 1999  
Reg. No.: 41,418  
Tel. No.: (617) 248-7240  
Fax No.: (617) 248-7100

Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110

SECTOR #4



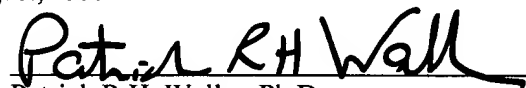
PATENT  
Attorney Docket No. LEX-003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Gillies, et al.  
SERIAL NO.: 09/256,156 GROUP NO.: 1643  
FILING DATE: February 24, 1999 EXAMINER: Not Yet Assigned  
TITLE: Enhancing the Circulating Half Life of Antibody-Based Fusion Proteins

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on this 23rd day of August, 1999.

  
Patrick R.H. Waller, Ph.D.

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Transmittal Form  
Fee Transmittal Form (including authorization to charge \$1,660.00  
Copy of Fee Transmittal Form  
Petition for Extension of Time Under 37 CFR 1.136(a)  
Check for \$380.00  
Copy of Notice to File Missing Parts of Application  
Response to Notice to File Missing Parts of Application  
Executed Declaration and Power of Attorney  
Return Receipt Postcard